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**FACSIMILE COMMUNICATIONS**  
DISCOVISION ASSOCIATES  
2355 Main Street, Suite 200, Irvine, CA 92714

TELEPHONE: (949) 660-5000  
FAX (949) 660-1801

TO: Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: December 3, 2003

Number of Pages: Cover + 18

ATTN: Examiner Monbleau, Davienne  
Art Unit 2828

TELEPHONE NO. 1 (703) 308-0956  
FAX NO. 1 (703) 872-9306

FROM: Mr. Richard Stokey, Esq.

PLEASE CONTACT US IF ANY PAGES  
ARE ILLEGIBLE OR ARE NOT RECEIVED

Our Docket No.: ST 2622.01 US

**PLEASE ACKNOWLEDGE RECEIPT OF THE  
FOLLOWING DOCUMENTS.**

**ATTN: Examiner Monbleau, Davienne N.**

**SERIAL NO.: 09/650,969**

Enclosed please find the paperwork for an Amendment. If you should have any questions or comments, please contact me at (949) 660-5006.

Thank you for your courtesy and cooperation pertaining to this matter.

Richard Stokey  
Patent Prosecution Attorney  
Discovision Associates  
Registration No. 40,383

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December 3, 2003

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**VIA FACSIMILE**

CUSTOMER NUMBER

**22887**

PATENT TRADEMARK OFFICE

Re: U.S. Application No.: 09/650,969  
USPTO Confirmation No.: 8650  
Filed: August 29, 2000  
Entitled: Near Field Optical Apparatus  
Inventor(s): Thornton et al.  
Our File No.: ST 2622.01 US

Dear Sir:

Enclosed for filing in the above-referenced patent application are the following documents:

1. Transmittal Form;
2. Amendment;
3. Cover Letter, and
4. Certificate of Facsimile dated December 3, 2003.

***Please acknowledge receipt of this transmittal.***

Very truly yours,

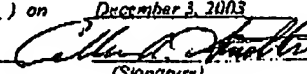
DISCOVISION ASSOCIATES

  
Richard Stokey  
Patent Prosecution Attorney  
INTELLECTUAL PROPERTY DEVELOPMENT

RS:cs

Enclosures

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CERTIFICATE OF FACSIMILE TRANSMISSION	
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (Fax No.	
(703) 872 - - - 9306 . ) on	December 3, 2003
Calleen A. Smothers (Type or print name)	 (Signature)

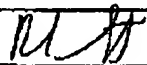
PTO/SB/21 (08-03)


Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/650,969
	Filing Date	8/29/2000
	First Named Inventor	Robert L. Thornton
	Art Unit	2828
	Examiner Name	Monbleau, Davienne
Total Number of Pages in This Submission		Attorney Docket Number ST 2622.01 US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Cover Letter, and Certificate of Facsimile.
Remarks _____		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Richard J. Stokey, Reg. No. 40,383	
Signature		
Date	Dec 3, 2003	

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460 on the date shown below.			
Typed or printed name	Calleen A. Smothers		
Signature		Date	12/3/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re the Application of:

Thornton et al.

Filed: August 29, 2000

Serial No.: 09/650,969

For: NEAR FIELD OPTICAL  
APPARATUS

Confirmation No. 8650

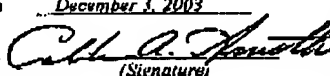
Art Unit: 2828

Examiner: Monbleau, Davienne

Attorney Docket No.  
ST 2622.01 US

## AMENDMENT

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
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(703) 872 - 9306 )	on December 3, 2003
Colleen A. Smothers (Type or print name)	 (Signature)

Dear Sir:

This is in response to the outstanding Office Action mailed September 5, 2003.